

EXPRESS MAIL NO. EV207743635US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Tongtong Wang et al.

Application No.

09/658,824

Filed

September 8, 2000

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Examiner

: Carla J. Myers

Art Unit

: 1634

Docket No.

: 210121.478C11

Date

: June 17, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Applicants note that reference AH (WO 99/20750), on page 1 of the attached Forms PTO-1449, is written in Japanese. According the Examiner's attention is drawn the English abstract on the cover page of WO 99/20750. Furthermore, the Examiner's attention is

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also directed to reference BL (Genseq Database record AAX55997), which provides an additional English abstract of WO 99/20750 and identifies the relevant nucleotide sequence.

Please acknowledge receipt of this Fourth Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,

Tongtong Wang et al.

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Enclosures:

Form PTO-1449 (2 sheets) Cited References (24)

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Sheet <u>1</u> of <u>2</u> EXPRESS MAIL NO. EV207743635US APPLICATION NO. ATTY, DOCKET NO. U.S. DEPARTMENT OF COMMERCE FORM PTO-1449 PATENT AND TRADEMARK OFFICE (REV.7-80) 09/658,824 210121.478C11 APPLICANTS FOURTH SUPPLEMENTAL Tongtong Wang et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT September 8, 2000 1634 U.S. PATENT DOCUMENTS FILING DATE *EXAMINER SUBCLASS DOCUMENT NUMBER DATE NAME CLASS IF APPROPRIATE INITIAL 435 7.1 08/01/02 Milne Edwards et al. 2002/0102604 A1 9 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY YES OK TRACE EP 1033401 A2 09/06/00 **EPO** AC WO 01/51628 07/19/01 **WIPO** AD WO 01/42451 06/14/01 WIPO ΑE **WIPO** WO 00/22130 04/20/00 AF WO 00/21990 04/20/00 WIPO AG WO 99/20750 04/29/99 **WIPO** X AΗ OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Database, Accession No. AAH09538, October 22, 2001. ΑI GenBank Database, Accession No. AJ290447, May 5, 2001. ΑJ GenBank Database, Accession No. AJ318878, January 14, 2002. ΑK GenBank Database, Accession No. AJ318879, January 14, 2002. AL GenBank Database, Accession No. AJ400997, May 5, 2001. AM GenBank Database, Accession No. BC009538, October 22, 2001. AN

EXAMINER

AO

DATE CONSIDERED

* EXAMINER:

Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

GenBank Database, Accession No. CAC38107, May 5, 2001.

EXPRESS MAIL NO. EV207743635US Sheet 2 of 2 ATTY. DOCKET NO. APPLICATION NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE 210121.478C11 09/658,824 APPLICANTS FOURTH SUPPLEMENTAL Tongtong Wang et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT JUN 1 7 2003 September 8, 2000 1634 **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE C THITING BA FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY YES NO вв OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) GenBank Database, Accession No. CAC38108, May 5, 2001. BC GenBank Database, Accession No. CAC82986, January 14, 2002. BD GenBank Database, Accession No. CAC82987, January 14, 2002. BE GenBank Database, Accession no. NP 020411, December 17, 1999. BF GenBank Database, Accession no. NP 065144, September 5, 2000. BG Genseq Database (Thomson Derwent), Accession No. AAA42613, August 21, 2000. BH Genseq Database (Thomson Derwent), Accession No. AAL13774, December 7, 2001. ΒI Genseq Database (Thomson Derwent), Accession No. AAL22641, December 7, 2001. ΒJ Genseq Database (Thomson Derwent), Accession No. AAS37109, December 17, 2001. вк Genseq Database (Thomson Derwent), Accession No. AAX55997, July 15, 1999. BL ВМ

EXAMINER

DATE CONSIDERED

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